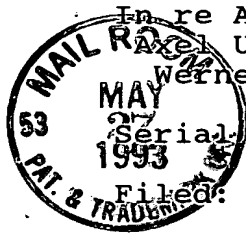


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:) Applications Branch
Axel Ullrich, Birgit Millauer) Manager: To Be Assigned
Werner Risau)
Serial No.: 07/975,750) Group Art Unit:
Filed: November 13, 1992)
For: Flk-1 IS A RECEPTOR FOR)
VASCULAR ENDOTHELIAL)
GROWTH FACTOR) PENNIE & EDMONDS
Atty. Docket No.: 7683-009) 1155 Avenue of the Americas
New York, New York 10036-2711

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts dated January 7, 1993, Applicants submit herewith a Supplemental Declaration and Power of Attorney executed by the actual inventors, Axel Ullrich, Werner Risau and Birgit Millauer. An unexecuted Declaration was filed with the patent application on November 13, 1992 naming only Axel Ullrich and Werner Risau as inventors. A Petition to correct inventorship to include Birgit Millauer is submitted herewith.

The Applicants also submit herewith an Assignment of Rights by the inventors to Max Planck Gesellschaft Zur Forderung der Wissenschaften e.v. for recordation in the

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB389 221 987US Date of Deposit May 27, 1993
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Frank Diangelis

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PENY-182953.1

United States Patent and Trademark Office. This document is
also executed by the inventors.

Respectfully submitted,

REG. NO.
31,956

Date: May 27, 1993

Laura A. Coruzzi

LAURA A. CORUZZI

30,742
(Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 9 1993

APPLICATION DIVISION

Applicant of:
Ulrich, A. et al.

Serial No.: 07/975,750

Filed: November 13, 1992

For: Flk-1 IS A RECEPTOR FOR
VASCULAR ENDOTHELIAL
GROWTH FACTOR

Group Art Unit:

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036

Atty Docket No.: 7683-009

TRANSMITTAL LETTER

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

In support of the Petition To Correct Inventorship Under
37 C.F.R. § 1.48(a), the co-inventors submit herewith the
following documents:

1. Petition To Correct Inventorship Under 37 C.F.R.
§ 48(a);
2. Executed Verified Statement of Facts;
3. A copy of the Rule 63 Declaration executed by all
actual co-inventors;
4. Executed Consent By Assignee To Correction Of
Inventors Under 37 C.F.R. § 1.324; and
5. All fees required in connection with filing this
Petition, if any.

DF11224 06/04/93 07975750 16-1150 110 122 130.00CH

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FRANK DIANGELIS

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PENY-183618.1

It is believed that no additional fees are required in connection with filing this Petition. If, however, it is determined that fees are required, please charge all required fees to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

PENNIE & EDMONDS
Attorneys for Applicants

by *Mully* REG. NO.
31,956

Date: May 27, 1993

Tel.: (212) 790-9090

Enclosures

Laura A. Coruzzi
LAURA A. CORUZZI 30,742
(Reg. No.)



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ullrich, A. et al.

Serial No.: 07/975,750

Group Art Unit:

Filed: November 13, 1992

Examiner:

For: Flk-1 IS A RECEPTOR FOR
VASCULAR ENDOTHELIAL
GROWTH FACTOR

Attorney Docket No.: 7683-009

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The fee required to be filed in connection with the correction of inventorship for the above-identified application, pursuant to 37 C.F.R. §1.17(h), has been estimated to be \$130.00. Please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

by A. Coruzzi REG. NO. 31,956

Date May 27, 1993

Laura A. Coruzzi 30,742
Laura A. Coruzzi (Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB389 221 987US

Date of Deposit May 27, 1993

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FRANK DIANGELIS

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Frank Diangelis

PENY-152074.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

W000300 ANN #6

In re Application of: Axel Ullrich
Birgit Millauer
Werner Risau

Serial No.: 07/975,750

Group Art Unit:

Filed: November 13, 1992

Examiner:

For: Fik-1 IS A RECEPTOR FOR
VASCULAR ENDOTHELIAL
GROWTH FACTOR

Attorney Docket No.: 7683-009

FEE TRANSMITTAL SHEET

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
JUN 2 1993
APPLICATION DIVISION

Sir:

The fee required to be filed with the accompanying Response To Notice To File Missing Parts of even date herewith concerning the above-identified application has been estimated to be \$130.00.

Please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

by *Almy* REG. NO. 31,956

Date May 27, 1993

Laura A. Coruzzi
Laura A. Coruzzi

30,742
(Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

DF11239 06/04/93 07975750 16-1150 110 105 130.00CH

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB389 221 987us Date of Deposit May 27, 1993
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Frank Diangelis

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Frank D. Diangelis

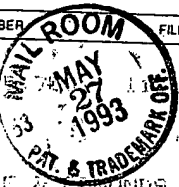
PENY-182885.1



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY DOCKET NO./TITLE



PENNIE S. LEONARD
1155 AVE. OF THE AMERICANS
NEW YORK, NY 10036-2711

DATE MAILED: 01/07/93

NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

☐ large entity, ☐ small entity (verified statement filed), is \$ 12.00.

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ _____ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☐ Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

_____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$ _____ processing fee is required for returned checks. (37 CFR 1.12 (m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☐ Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE** of \$ 12.00 for large entities or \$ 6.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provision of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Processing Division, Special Processing and Correspondence Branch.**

A copy of this notice MUST be returned with response.

For: Manager, Application Processing Division
(703) 308-1202